

## **PATENT COOPERATION TREATY**

	From the INTERNATIONAL BUREAU
PCT	То:
NOTIFICATION OF ELECTION	United States Patent and Trademark
(227.2.1.24.2)	Office
(PCT Rule 61.2)	(Box PCT) Crystal Plaza 2
	Washington, DC 20231
	ÉTATS-UNIS D'AMÉRIQUE
Date of mailing (day/month/year)	is its second to the desired Office
17 June 1999 (17.06.99)	in its capacity as elected Office
International application No.	Applicant's or agent's file reference
PCT/SE98/01914	44662-53465
International filing date (day/month/year)	Priority date (day/month/year)
22 October 1998 (22.10.98)	22 October 1997 (22.10.97)
Applicant	
HERTZMAN, Mikael et al	
1 The deciment Office is beauty, relified of the election model.	
The designated Office is hereby notified of its election made	e:
X in the demand filed with the International Preliminary	Examining Authority on:
 14 May 1999 (	14.05.99)
-	
in a notice effecting later election filed with the Intern	national Bureau on:
2 The election V	
2. The election X was	
was not	
made before the expiration of 19 months from the priority o	date or where Rule 32 applies within the time limit under
Rule 32.2(b).	acte of, where raise 32 applies, within the time time allow
	•

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

F. Baechler

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

## PATENT COOPERATION TREAT

**PCT** 

REC'D		DEC 1999
WIP	0	PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference					tion of Transmittal of International	
44662-53465			FOR FURTHER ACTION	Preliminary 6	Examination Report (Form PCT/IPEA/416)	
International application No.		ation No.	International filing date (day/month	n/year)	Priority date (day/month/year)	
PCT/SE98/01914 22/10/199			22/10/1998		22/10/1997	
Internationa G06T1/00		nt Classification (IPC) or na	tional classification and IPC			
Applicant						
SPECTR	A PR	ECISION AB et al.				
and is	trans	mitted to the applicant a	according to Article 36.		national Preliminary Examining Author	rity
2. This F	REPO	R1 consists of a total of	4) sheets, including this cover	sneet.		
b (s	een a ee Ri	mended and are the bau ule 70.16 and Section 6	sis for this report and/or sheets of 07 of the Administrative Instruct	containing rec	, claims and/or drawings which have stifications made before this Authority PCT).	
These	anne	exes consist of a total of	sheets.			
3. This r	eport ⊠	contains indications rela	ating to the following items:			
ı II						
111				and industrial applicability		
l ıv		Lack of unity of inventi	•			
V	⊠	Reasoned statement u	inder Article 35(2) with regard to ons suporting such statement	novetty, inve	ntive step or industrial applicability;	
VI	$\boxtimes$	Certain documents cit	ted			
VII	$\boxtimes$	Certain defects in the i	international application			
VIII	⊠	Certain observations of	on the international application			
Date of sub	missio	on of the demand	Date of	completion of	·	
14/05/1999					0 6. 12. 99	
	exam	g address of the internation ining authority:	al Author	zed officer	STANDAL A	Zi kari
<u>o</u> ))	D-8	opean Patent Office 0298 Munich +49 89 2399 - 0 Tv: 52365	Barba	ı, M	(Valorie)	) — **/
Tel. +49 89 2399 - 0 Tx: 523656 ep Fax: +49 89 2399 - 4465			1	one No. +49 89	2399 2732	IT.E.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SE98/01914

#### I. Basis of the report

1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.): **Description, pages:** as originally filed 1-16 Claims, No.: as originally filed 1-21 Drawings, sheets: as originally filed 1/4-4/4 2. The amendments have resulted in the cancellation of: the description, pages: the claims, Nos.: sheets: the drawings, 

This report has been established as if (some of) the amendments had not been made, since they have been

4. Additional observations, if necessary:

considered to go beyond the disclosure as filed (Rule 70.2(c)):

## INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/SE98/01914

- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims No:

Claims 1-7, 9-12, 14-21

Inventive step (IS)

Yes: Claims

Claims 1-7, 9-12, 14-21

Industrial applicability (IA)

Yes:

Claims 1-21

No:

No:

Claims

2. Citations and explanations

see separate sheet

#### VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

#### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Reference is made to the following document:

D1: US-A-5617335

#### Re Item V

1

1.1

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Insofar as the present text of claim 1 can be understood in the light of the description (see paragraph 6 to 6.2d below), it appears that present independent claim 1 is not new and therefore it does not fulfill the requirements of Article 33 (2) PCT for the following reasons.

Document D1, that provisionally represents the closest prior art, discloses (see column 2 lines 29-36; column 2 lines 54-58; column 3 lines 1-16; column 4 lines 12-52; from column 4 line 65 to column 5 line 13; column 9 lines 39 to column 13 line 55; column 15 lines 18-23) a method for contactless or touch free measurement of position and orientation of a tool or accessories by means of image points on image area means, wherein said image points on said image area means are based on markers provided on said tool and having predetermined mutual positions, and wherein said image area means comprising optic means to convert said markers on said tool into said image points on said image area means and whereby said markers provided on said tool being at least three visible within the field of view of said image area means and at least being distinctively identifiable; moreover, in order to measure the position and the orientation of said tool, said markers having a size large enough and a shape such that said corresponding image points on said image area means can be processed in order to restore them even though a part of said markers is obscured by some obscuring objects.

- 1.2 Thus the method of claim 1 lacks novelty against the method known from
- Moreover, Insofar as the present text of dependent claims 2 to 7 can be understood in the light of the description (see paragraph 7 to 8.1 below), it appears that dependent claims 2 to 7 do not contain any features which, in combination with the features of any claim to which they refer, meet the require- ments of the PCT in respect of novelty (Article 33 (2) PCT) the reasons being as follows.
- 2.1 Document D1 discloses (see column 2 lines 29-36; column 2 lines 54-58; column 3 lines 1-16; column 4 lines 12-52; from column 4 line 65 to column 5 line 13; column 9 lines 39 to column 13 line 55; column 15 lines 18-23) a method for contactless measurement of position and orientation of a tool or accessories that comprises all the additional features of dependent claims 2 to 7.
- 2.2 Thus the subject matter of dependent claims 2 to 7 lacks novelty against the method known from D1.
- Insofar as the present text of independent claim 10 and dependent claims
  11 to 21 can be understood in the light of the description (see paragraph 10 to 10.3 below), it appears that claims 10 to 21 are apparatus claims corresponding to the method claims 1 to 9.

Therefore, because of the similarities in structures the above comments apply in their entirety also to present claims 10 to 21.

Thus, it appears that the subject matter of present independent claim 10 and dependent claims 11, 12 and 14 to 21 is not new against the apparatus known from D1 and therefore it does not fulfill the requirements of Article 33 (2) PCT for the same reasons above mentioned in paragraph 1.1 and 2.1 of

3.1

D1.

this International Preliminary Examination Report.

3.2 With regard to the assessment of the present claims 1 to 21 on the question whether they are industrially applicable, the following is stated.

The subject matter of present claims 1 to 21 relates to a method and apparatus for contactless measuring of position and orientation of a tool, therefore it fulfills the requirements of industrial applicability as set out in Article 33 (4) PCT.

Re Item VI
Certain documents cited

According to Rule 64.3 PCT attention is called on document WO-A-9854593 published on 3 December 1998, which was filed on 29 May 1998 with priority dates 30 May 1997 and 2 June 1997.

Re Item VII
Certain defects in the international application

- At page 5 line 4 the wording "gjoining" should be amended as "joining"
- 5.1 At page 13 line 24 the wording "framgrabber" should be amended as "framegrabber".
- At page 16, last paragraph, the description contains general statements that the extent of protection may be expanded in some vague and not precisely defined way. Such general statements shall be deleted as contrary to Article

International application No.

PCT/SE98/01914

**EXAMINATION REPORT - SEPARATE SHEET** 

6 PCT, cf. also PCT Preliminary Examination Guidelines, C-III, 4.3a.

5.3

Present independent claims 1 and 10 are not in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would be appropriate, with those features known in combination from the prior art (documents D1) being placed in a preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).

5.5

Furthermore, the greatures of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).

Re Item VIII

Certain observations on the international application

6

The application does not meet the requirements of Article 6 PCT, because independent claim 1 is not clear, the reasons being as follows.

6.1

The wording of present independent claim 1 as a whole is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject matter of said claim unclear (Article 6 PCT).

6.2

Additional reasons why the subject matter of present independent claim 1 does not fulfill the requirements of Article 6 PCT is the following.

6.2a

Document D1 discloses a method for contactless or touch free measurement of position and orientation of a tool or accessories wherein said position and orientation in a three dimensional space is provided by

## **EXAMINATION REPORT - SEPARATE SHEET**

means of four markers placed on a plane and two other markers placed on a different plane.

However, the processing required to carry out the determination of the position and orientation of said tool in a three dimensional space when said markers are partially obscured results very complex.

6.2b

The method of the present invention seeks a solution the above mentioned problem of the prior art by using an over determined system, wherein two or more parallel rows of markers are provided on the same plane of said tool and wherein each of said rows of markers includes at least three markers.

6.2c

Thus, it is clear from the description that the following additional features are essential to solve the above mentioned problem of the prior art according to the teaching of the present invention:

- at least two rows of markers are provided on the same plane of said tool, each of said rows including at least three markers;
- said at least two rows are markers provided on the same plane of said tool are parallel.

6.2d

Since independent claim 1 does not contain these features it does not meet the requirement following from Article 6 PCT taken in combination with Rule 6.3(b) PCT that any independent claim must contain all the technical features essential to the definition of the invention.

Claim 2 does not fulfill the requirements of Article 6 PCT for the same reasons above mentioned in paragraph 6.1 of this International Preliminary Examination Report and for the following additional reason.

7.1

The wording "lined measuring element" is vague and indefinite and as such it does not have a clear technical meaning.

# INTERNATIONAL PRELIMINARY International application No. PCT/SE98/01914 EXAMINATION REPORT - SEPARATE SHEET

8	Dependent claim 3 is also vague and unclear and therefore it does not fulfill the requirements of Article 6 PCT for the same reasons above mentioned in paragraph 6.1 of this International Preliminary Examination Report and for the following additional reasons.
8.1	Claim 3 attempts to define the subject matter in terms of the result to be achieved which merely amounts to a statement of the underlying problem. The technical features necessary for achieving this result should be added.
9	Dependent claim 9 is also vague and unclear and therefore it does not fulfill the requirements of Article 6 PCT for the same reasons above mentioned in paragraph 6.1 and paragraph 8.1 of this International Preliminary Examination Report.
10	It appears that claims 10 to 21 are apparatus claims corresponding to the method claims 1 to 9.  Therefore, because of the similarities in structures the comments of above paragraph 6 to 8.1 of this International Preliminary Examination Report apply mutatis mutandis also to present claims 10 to 21.
10.1	Moreover, dependent claims 11, 12, 19 and 20 are also unclear for the following additional reason.
10.2	Some of the features in the apparatus claims 11, 12, 19 and 20 relate to a method of using the apparatus rather than clearly defining the apparatus in terms of its technical features. The intended limitations are therefore not clear from this claim, contrary to the requirements of Article 6 PCT.
10.3	Thus, it appears that the subject matter of present independent claim 10 and dependent claims 11, 12, 14, 15, 19 and 20 does not fulfill the requirements

of Article 6 PCT.

## **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>: G06T 1/00, B25J 9/16, G01S 5/16

A1

(11) International Publication Number:

WO 99/21134

(43) International Publication Date:

29 April 1999 (29.04.99)

(21) International Application Number:

PCT/SE98/01914

(22) International Filing Date:

22 October 1998 (22.10.98)

(30) Priority Data:

08/955,766

22 October 1997 (22.10.97)

US

(71) Applicant (for all designated States except US): SPECTRA PRECISION AB [SE/SE]; P.O. Box 64, S-182 11 Danderyd

(SE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): HERTZMAN, Mikael [SE/SE]; Skansvägen 58, S-191 43 Sollentuna (SE). BROWN, Troy, Lane [US/US]; 30 Indian Hill Trail, Crystal Lake, IL 60012 (US).

(74) Agents: FORSSELL, Gunilla et al.; Albihns Patentbyrå Stockholm AB, P.O. Box 5581, S-114 85 Stockholm (SE).

(81) Designated States: JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

#### **Published**

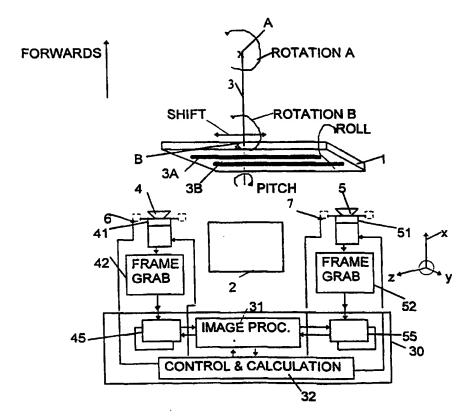
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: CONTACTLESS MEASURING OF POSITION AND ORIENTATION

#### (57) Abstract

The invention relates to a method and a device for contactless or touch-free measurement of a tool by means of imaging area means (4, 5). Processing means (30) makes calculations based on image points on the tool, imaged onto the imaging area means. Optics (41, 42; 51, 52) presents the image of the image points on the tool on the imaging area means, the processing means (30) being adapted to calculate the momentary position and/or orientation along at least one axis of the tool making use of the image points. A number of measuring elements and/or markers (8, 9; 13-16; 20-23; 26-28) has predetermined mutual positions and are provided on the tool. Each measuring element and/or marker comprises at least one measuring point. At least one of the points is identifiable. Each measuring element and/or marker to be used as position and/or orientation indicating means has a size making it restorable by the processing means even if a part of it on the tool is obscured for the image area means, for example by dust.



### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF ·	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
ВЈ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Солдо	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
Cī	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	- Singapore		

WO 99/21134 PCT/SE98/01914

#### Spectra Precision AB

### Contactless measuring of position and orientation

This invention relates to the contactless or touch-free measurement of position and orientation of a tool or accessory, for instance a blade of a road planner, skimmer shovel or the like, in relation to the machine provided with the tool.

Most often indications of the position and orientation of a tool are provided by sensors
and encoders on the moving links and rotational parts of the machine which move the
tool. However, wear, slippage, complicated installation or the like of the moving parts
make the indicated results unreliable and also changeable with the passage of time.

#### DESCRIPTION OF RELATED ART

Therefore, a method is desired according to which a non-contacting and reliable measurement of the momentary position, orientation and movements of a tool could be provided.

20

25

30

15

A known method, disclosed in WO 96/22537, uses two cameras having frame grabbers to measure the distance to, and the speed of, a moving target. The mathematics of the triangulation calculation to provide the distance to a point of the target are analysed, as well as the target speed from consecutive distance measurements. However, it is assumed that the target is moved along a straight line from the cameras and only one point on the target is measured.

A calibration frame for a non-contact measurement systems is disclosed in WO 96/07869. At least two cameras are intended to view an object in order to determine the shape or other geometric properties of the object. A calibration frame having individually controllable light emitting diodes (LED) is arranged in view of the

cameras. One LED at the time is switched on and the space co-ordinates for the LED in question is stored with the image co-ordinated data for that LED. This process is repeated for all the LEDs on the frame. Thereafter the computer in the system has all the data required to perform calibration of the measuring system so that measurements can be made. It is to be noted that a stationary system is disclosed in this patent application.

US 440 392 describes a system for point to point measurement of spatial co-ordinates of a touch probe provided with at least three point-sized light sources. The spatial direction to all the light sources of the touch probe are registered simultaneously on at least one 2D (two dimensional) matrix of photosensitive elements. Calculation is made of the spatial direction for each individual light source. Calculation of the spatial co-ordinates for the touch probe is then provided.

US 4,396,945 describes a method of determining the position and orientation of an object in space by use of two cameras which are turnable by servo control to be directed towards the object and record images light sources on the object. The intersection of the lines in space from the cameras towards the object is used to determine the position of each light source in space.

20

25

10

15

US 4,044,377 describes a target locator to measure the vertical and longitudinal distance from an industrial robot are by means of a closed circuit TV camera with a fixed focus lens. The robot arm is provided with a row of alternate black and white squares. This pattern is identified by the appearance of a burst of pulses in the video output during a horizontal scan across the uniform pattern. The vertical displacement of the target pattern is obtained by averaging the vertical deflection voltages, when the pattern is first acquired by a horizontal scan and when the pattern is just lost. The longitudinal distance to the target is obtained from the pulse repetition frequency from the horizontal scan across the pattern on the camera display.

WO 99/21134 PCT/SE98/01914

US 4,764,668 describes a system for performing a safe docking procedure in the clean space. Two pairs of bar codes are positioned along each one of two orthogonal lines going across an opening to a space ship. The position of the space ship and the orientation of it is determined by aid of the bar codes.

5

#### OBJECTS OF THE INVENTION

One object of the invention is to provide a method and system to make a reliable and exact measurement of the momentary position and orientation of a tool or accessory in relation to the body of a machine provided with the tool.

Another object of the invention is to provide a method and a system to make a contactless measurement of a tool or accessory in relation to the body of a machine.

15

10

Yet another object of the invention is to provide a method and a system to make exact measurement of the momentary position and orientation of a tool or accessory provided in a dirty and dirtying environment.

Still another object of the invention is to provide a method and a system which is easily installed to make reliable measurement of the momentary position and orientation of a tool or accessory in relation to the body of a machine.

Yet another object of the invention is to provide a method and a system to make a contactless measurement of a tool or accessory in relation to the body of a machine at short intervals in order to indicate the momentary speed and acceleration and the direction of them for the tool or accessory.

WO 99/21134 PCT/SE98/01914

#### THE INVENTION

10

15

20

25

30

These objects are achieved by a method having the features in claim 1, and a device for performing the method is disclosed in claim 11. Further features and improvements of the invention, are disclosed in the dependent claims.

The invention relates to the technical field of contactless or touch-free measurement of a tool or accessories by means of at least one imaging area means and calculation indicating the position and/or orientation of the tool making use of image points on the imaging area means, based on the image points having predetermined mutual positions provided on the tool, imaged onto the imaging area means, and optics presenting the image points on the tool on the imaging area means, the image points being at least three visible within the field of view of the imaging area means and at least one being distinctly identifiable.

The invention is characterized by, in order to measure the position and/or orientation of the tool, providing a number of measuring elements on the tool, each measuring element having a size large enough for making a reconstruction of its form and calculation of at least one image point on the tool related to the measuring element even though a part of it happens to be obscured by some obscuring object, for example dust.

Each marker has a two-dimensional shape and its point of balance, i.e. the centre-of-gravity of a disk having the same design and a uniform thickness, is detected and is used as its measuring point. Each measuring object could be derivable as a point of a marker positioned on the tool surface. Most of the markers could have the same shape, for instance circular, and at least one of the markers could have a shape different from the others, for instance a square, clearly distinguishable in the imaging areas, each said differently shaped marker having a predetermined known position on the tool and providing a reference point for determine shift position. The calculated consecutive

positions in space of at least one of the differently shaped markers could be used as the basis for calculating the shift of the tool.

Preferably at least some of the measuring objects, called lined measuring objects, are positioned on at least one row; and that for each row at least one line in space gjoing through the lined measuring objects for the row in question is determined. Several measuring objects are preferably present along each row. Calculation of at least one line per row is preferably made using at least three of them, but preferably several, on each image area having known mutual distances on the tool in order to determine the equation of the line and the positions of the measuring objects.

10

15

20

25

30

Using the modern computers nowadays having a great computing capacity the markers can be positioned also in an arbitrary design, but the best result will be provided if the markers are placed along lines extending from one short end side of the tool to the other. Thereby, each line can be viewed from each imaging area. Preferably, the rows are parallel to each other, because then the calculations of the rotations in different directions of the tool are easy to make and be programmed on a computer. However, it is also possible to have two lines crossing each other, for instance going diagonally over the tool and crossing each other in the middle between the short end sides, or the like.

Consecutive measurements of the markers could be provided, each measurement resulting in calculation of the tool 3D position, and further calculations are performed to calculate the 3D movements of tool from calculation to calculation and thereby to calculate at least one type of movement, such as shift, rotation etc.

At least two rows of markers are preferably provided on the tool, particularly when the tool can be moved both in all cartesian directions and turned around all the cartesian axes. A line in space is then calculated for each of the rows. Roll of the tool is derived by combining the information from the calculated 3D lines from at least two of the rows. The two rows can also be used to increase accuracy and extend the range of the

coarse shift position determination. If the lines are parallel on the tool and the images of them are shown on a display, the operator of the working machine will have an intuitive sense of the orientation of the tool by looking at the display, since the lines will be angled to each other in relation to the rotation around a vertical axis. If the calculated points of balance also are shown along the lines then the operator will also have an intuitive sense of the roll of the tool, since the distance between the points on the lines will then be different in dependence of the distance to the tool at the viewed position.

10

## SHORT DESCRIPTION OF THE FIGURES

The invention will now be described in more detail with reference to the accompanying drawings, in which

15

20

25

30

- FIG 1 illustrates schematically a first embodiment of a device according to the invention;
- FIGs 2A to 2F illustrate different kinds of code patterns to be provided onto the tool.
- FIG 3 illustrates schematically a second embodiment of a device according to the invention; and
- FIG 4 illustrates schematically a third embodiment of a device according to the invention.

## DETAILED DESCRIPTION OF EMBODIMENTS

Referring to FIG 1, a tool 1, illustrated as a road grader blade, is movable in relation to a machine, here illustrated as a control cabin 2 for the operator (not shown). The tool 1 is pivotably linked via, for example, a ball and socket joint to a bar 3, which in turn is pivotably linked to the machine. Thus, the tool 1 is pivotal around both the rotation

points A and B at the end of the bar 3. The position (shift and height) of the tool and its orientation are to be determined.

At least one imaging area 4 (and 5), here represented by video cameras, are positioned in the vicinity of the control cabin 2. Preferably, two separate video cameras 4 and 5 are provided and positioned on each side of the cabin 2. The reason is that the tool 1 can be shifted so far that it comes outside the field of view of one of the cameras. Thus the problem which is solved by having two cameras is how to get a view of the tool all the time during a working operation.

10

20

25

30

According to the invention measuring points are provided on the blade. The points are preferably markers or code objects having sizes and shapes which are clearly detectable when making image processing which will be described later on in the description. It is to be noted that the sizes of the markers must be so large that the shapes of them can be restored by the calculation of the image on each imaging area even though some dirt obscure a part of it. An image of a marker can also be obscured by some obscuring object positioned between the marker and the camera in question.

The markers are appropriately provided on at least one, preferably two code strips 3A, 3B adhered to the blade and are facing towards the imaging area or areas 4, 5, henceforth called cameras. Each strip is provided with the markers or code objects easily recognisable and distinguishable by the cameras.

Each of these code objects, below called markers, serve as measuring objects.

However, measuring objects could also be easily distinguishable points on one and the same marker. If for instance a marker is a triangle, each apex of it could be used as a measuring object. The form of the marker is still reconstructable by the computation so that the distinguishable points can be reconstructed even if one of them is obscured by dirt. In a case like this it is the distinguishable points which are computed and not the point of balance. An unambiguous shift position can be obtained using markers of different shape and constellations.

If at least three or four points on a line in space (here three markers on one of the strips) having known mutual distances are recorded on an imaging area the equation of the line and the position of the points can be determined. If more than three points on a line (markers) are used, then a least square method could be used in order to determine the desired parameters in a more secure and exact way than with only three points, thus creating an over-determined system. An over-determinable system is preferred in a dirty and dirtying environment.

10

15

20

When two or more lines of markers are used, then further conditions could be used in order to enhance the estimations and to determine the position and orientation in space of the imaged object in a three-dimensional system. These mathematical conditions are well known for the person skilled in the art and are therefore not described in detail. They are described for instance in the textbook by Haralick and Shapiro "Computer and Robot Vision", vol II. The invention is a way to make practical use of such conditions in a contactless measurement of a tool. The position and orientation in space could also be provided with markers or code objects spread in a predetermined way over the surface. However, use of lines lead to equations easy to solve, particularly if they are parallel, and are therefore preferable. Using the mathematical conditions desribed in the textbook above it could be possible to make three-dimensional determinations having only four or five measuring objects on the tool having known mutual positions. As mentioned above, several measuring objects are preferably used in order to create an over-determined system.

Support for the roll-determination is also provided by using two or more lines of markers on the strips 3A and 3B. As mentioned above, the code on each strip should preferably have some redundancy, i.e. more markers to measure than what is strictly needed for computations to calculate the position of a line in a 3D-co-ordinate system, since it is not possible to avoid that at least some of the markers and/or code objects will become very dirty during operation. An active lighting unit 6, 7 can be provided in order to enhance the visibility of the markers on the coded strips.

The redundancy can be provided by having the code pattern doubled or otherwise multiplied on each strip, as illustrated in the FIGs 2A to 2C. The readability of the display areas can be checked by comparing the different parts of the image of the strips. If they are different as regards the pattern configuration, apart from size and inclination, then an indication is made to alarm the operator that the code is on the point of being too dirty. It is also possible and preferred to have a stored image of the patterns and to compare the pattern on the strip with the stored patterns in order to detect dirt on the code strips.

10

15

20

25

30

5

The displacements of the tool 1 can be large, particularly if the pivoting points are spaced a long distance apart from each other. Therefore, the distance from the cabin 2, and hence the cameras 4, 5, to the tool blade 1, or at least a part of it, can vary considerably, for instance 2 to 4 m. Shift and rotation around the point A can also cause the tool blade 1 to be moved out of the viewing imaging area of one of the cameras 4 or 5. Therefore, at least two cameras are preferably provided. The cameras are preferably provided at fixed locations and with fixed orientation on the wording machine in order to have as few movable parts as possible. However, if the tool is provided on for instance a bulldoozer, having two degrees of freedom with high lifts then the camera(s) are preferably turnable around a substantially horizontal axis in order not to loose sight of the markers, i.e. the markers must not be moved up or down out of sight of the imaging area(s).

A bulldoozer blade often has only a limited moving pattern and can often not be turned in every possible direction. If the number of degrees of freedom is limited the number of markers could be reduced and the calculation be simplified.

Since the instantaneous position of the blade is to be determined, a measuring frequency of between 1 to 50 Hz, preferably between 10 to 50 Hz, is desired. This means that the images of the coded strips are provided at the measuring frequency.

However, it is possible to determine shift position, rotation and roll at a lower frequency, i.e. making the more complicated calculations at greater intervals.

Different kinds of code design are illustrated on FIGs 2A, 2B, 2C, 2D, 2E, 2F.

FIG 2A shows two code strips, one above the other and both provided with circular markers 8 at precise mutual spaces. The size and the distance to them from each of the cameras 4 and 5 are adapted such that the circular markers cover such a number of pixels in the camera image, and that such a number of them are shown in the image, that the position and orientation of a line going through them can be determined with an acceptable accuracy. As an example, a suitable diameter or diagonal size is 3 cm and a suitable distance apart is 6 cm, the pixel density is such that each object covers at least 100 pixels, and the image size is chosen such that at least ten objects are shown in the image, at least when the blade 1 is parallel to the cabin 2.

15

20

25

30

10

5

FIG 2A also illustrates that some of the markers could have another shape than the others. For example each fifth marker could be a square, as illustrated at 9, a triangle or the like instead of a circle 8. It is to be noted that the point of balance of each marker preferably should be placed on the same line 10 as the point of balance of the other objects. Having markers of different shapes makes it possible to exactly identify each marker on the blade in the image of each camera and hence the position of each of them.

FIG 2B illustrates that the two parallel codes could have different shapes. In this way the positions of differently shaped markers could be uniquely determined by comparing the marker shapes one above the other in the two rows. It also illustrates that the rows of code markers could be provided on the same strip 11. In this embodiment each row has circles 13 and three differently shaped markers placed as the fifth object. These objects are a square 14, a triangle 15, a diamond 16 etc. However, the codes are differently ordered and permuted so that different kinds of the fifth element are placed one above the other on each position for a fifth element. In this

way, using the sizes of the code elements given as an example above, it is possible to get nine unique half-meter positions, and hence a good resolution could be provided for a length of the tool 1 up to at least 5 m. This code has the advantage that all distances between the markers (i.e. their points of balance) are equal, even though they are differently shaped.

It is to be noted that the normal marker does not have to be a circle but another kind of object, for instance a polygon or the like. However, the markers preferably have a symmetric shape.

10

15

20

25

30

FIG 2C illustrates that extra symbols could be provided at the side of the circular objects and shows two parallel strips 18 and 19 where each circle 20 has another kind of symbolic object below, such as in cyclic order a square 21, a triangle 22, a diamond 23 for the first three groups in the upper row, and groups of three symbolic objects of the same kind in cyclic order in the lower row. The reason for this is to create a uniquely determinable position for the markers when they are recorded. The forth group in the upper row has the symbolic object permuted in order to create a symbol order in which each combination of two symbol combinations of the upper and lower rows at the side of each other have different combinations. It is also possible to have symbols placed more sparcely in relation to the circular objects, for instance at each third such that the first three groups of symbolic objects will be enough for the marker rows.

The reason to have only circular markers above (or beneath) the symbolic objects or markers is that it is easier to determine the point of balance in a circle (or the same kind of objects) than in differently shaped object and also that the identification of the symbolic objects can be made in a simple way. They do not even need to have their points of balance positioned on the same line, because it is the circular markers which are the markers from which the lines in space should be derived. The differently shaped symbol markers only serve a position identification purpose.

FIG 2D illustrates that the markers or measuring objects need not be provided on lines and thus could be predetermined but arbitrary spread over the tool surface. The computers are so powerful nowadays that all the needed information can be derived without placing the markers in an orderly way. Measuring could be provided to the tool onto known predetermined positions at the manufacturing. The positions of the markers on the image areas are then stored. The processor making the calculations will be provided with a program directly calculating and presenting the position, orientation and moving direction of the tool.

10

15

20

25

30

5

The markers 26, 27, 28 need not have different shapes even though this is to be preferred. They need not either have the same size as illustrated at 28. Their different positions could be derivable from the particular pattern in which they are arranged on the tool. It is also illustrated that one marker could provide several measuring objects, such as the corners a, b, c, d of the square marker 28. It is to be noted that the corners could be restored even if all of them should happen to be obscured.

FIG 2E illustrates that markers can be placed along at least two lines crossing each other, and FIG 2F that markers can be placed along vertical lines. The lines are illustrated to be placed at such short distances that the cameras 4, 5 can have least one of those lines in their field of view.

The markers are preferably designed in a retro-reflective foil material. The background could be black and dull. This will minimise the disturbance from the light in the environment and also minimise the need of power supply for at least one active lighting unit 6, 7.

It is to be noted that the measuring device must be able to work perfectly in spite of varying environment light. Thus, the lighting unit or units 6, 7 have to provide a light strong enough to give a reflection from the markers above the level of the ambient light reflected by the tool blade 1 and its code strips. Instead of having reflective markers

lights, such as light emitting diodes (LED), could be positioned on the tool blade and switched on during operation. However, this is not a preferred solution, even if it is possible, because of the vibration of the tool blade which makes the lighting circuitry sensitive and unreliable.

5

The lighting units 6, 7 could be positioned on the cabin 2. However, a preferred position, shown in Fig. 1, is near to the camera optics, for instance a fitting 6, 7 comprising a number of light diodes placed around each camera 4 and 5, respectively.

Each camera 4 and 5 could be provided with an array (matrix) of CCD-detectors. Each camera could also be provided with a spectral filter 41, 51, respectively, transmitting at least the same wavelengths as the lighting units 6 and 7 in order to minimise the influence of ambient light and to enhance the signal-to-noise ratio. The bandwidth of the filter 41, 51 is rather narrow, for example about 50 nm. An interference filter having a transmittance around the wavelength of the lighting units 6 and 7 could then be used. Also, the bandwidth of the lighting units 6, 7 and the filters 41, 51 is preferably within a wavelength range in which the solar light levels are rather low. For

20

25

i.e. within the near infrared range.

Each camera or two or more cameras in combination could be connected to at least one so called framegrabber 42, 52, respectively, for momentarily recording the images. The outputs from the framegrabbers are digitised representations of the images. The output from each framgrabber is stored at least momentarily in a store 45 or 55, respectively, in a calculating unit 30 for image processing, control and calculation. Each store could have a capacity to store more than one image at the time in order to ease the calculations, particularly since the movements of the line(s) in space should be derived.

instance, the lighting units could comprise light diodes having a wavelength of 950 nm,

The information in the images of interest to be used are the positions and shapes of the markers. Thus, the image parts comprising these objects are segmented out from the

background, i.e. the background is discriminated. This is done by the image processor 30, 31. The respective points of balance of the markers are calculated. The background discrimination level is adapted between the exposures by striving to have the same amount of objects in the images for different exposures and to check that the shape of the markers is the right one (for instance circular or oval). This criterion is a minimisation of the shape factor.

5

10

15

20

25

30

In the embodiment shown in FIG 1, by processing of the images from the cameras the computer calculates the orientation of the space lines going through the lines of markers, for example provided on code strips 2 and 3.

Since markers having different shapes than the others are used the positions of individual markers could be derived in relation to the tool blade 1. From the calculated momentary surface positions of the tool, surface rotation, pitch and roll of the blade are then calculated. The movement speed in different directions and rotations could be derived and hence tool positions can be predicted at least a short time in advance.

The image recordings and the calculations are performed at short intervals. The unit 30 simultaneously switches on the lighting units 6 and 7 and the electronic shutters (not shown) of the cameras for a suitable period of time, for instance in the order of 1/1000 s, as illustrated by the block 32. The image processing starts directly, and a new image recording is done. It is possible to have more than one processing circuits working in parallel but time shifted in order to be able to have a close repetition frequency. Preferably the internal circuits in the cameras, for instance the integration time control and AGC, controls the exposure to be optimum.

In order to calculate the three-dimensional information derivable from the image data, the computer program first transmits the pre-processed data to a calibrated co-ordinate system. Checkpoints could then be provided in stored tables. It is also possible to let the computer program make a homogenous matrix multiplication. An over-determined

equation system is used for calculating the equations for the lines and the points of each line belonging to each individual code strip.

The equations are based on mere geometrical considerations known for the person skilled in the art and are therefore not described in detail. Height, inclination and rotation are derived directly from the results of the calculations. Roll is derived by combining information from two rows of markers. Shift is determined by interpretation of the code such that a code point having a known position is related to its position on the blade. Such markers are thus provided on each code strip as described above.

10

The measures described above only provide the movements of the blade 1 in relation to the cabin 2. The speed, actual position and orientation of the vehicle having the tool blade 1 is measured in another conventional way.

However, in accordance with a second embodiment of the invention, shown in FIG 3, blade speed in relation to the ground is also derivable, at least when the blade is in contact with the ground. This embodiment also illustrate that it is possible to have only one camera 61, which then is placed on the front of the cabin 62. The camera 61 can have a field of view such that not only the blade but also the ground are recorded on its image plane, or alternatively have a part of its field of view by a divisional optics 63 directed towards the lower part of the tool. Preferably, the divisional optics is fixed and calibrated at the installation.

Alternatively and preferably, as shown in FIG 4, besides the camera 61 a separate camera 65 is provided on the cabine 62 and directed such that both the blade and the ground are viewed and recorded. The image processing in a processing device 66 of the recorded image of the ground and at least a part of the ground demands a more powerful computation than the computation in the processing device 67 for the markers on the tool blade 68 using for instance correlation techniques.

Since the movements of the tool blade 1 in relation to the cabin 2 are monitored and calculated it is possible to servo control the direction of the divisional optics 63 of the divisional optics through a direction controllable mounting device 64 onto which the divisional optics are mounted, or the extra camera 65 from the processing device 66 such that the blade edge always lies at a pre-determined position in the part of the image or the image, respectively, showing the blade edge 69 together with the ground. The servo control feature is, however, expensive and therefore it is preferred to have the divisional optics or the extra camera in a fixed position.

While the invention has been described with reference to specific embodiments, it will be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof and different features described for one embodiment could be adequate also in the other embodiments without departing from the true spirit and scope of the invention as it is stated in the claims on file. In addition, modifications may be made without departing from the essential teachings of the invention as apparent from the claims. For example at least one object position on the tool could be calculated making use of the image of the measuring objects on the image area(s) and be used to derive the 3D position of the tool and its 3D movement.

#### WE CLAIM

10

15

20

25

- 1. A method for contactless or touch-free measurement of a tool or accessories by means of at least one imaging area means (4,5) and calculation indicating the position and/or orientation of the tool making use of image points on the imaging area means, based on the image points having predetermined mutual positions provided on the tool, imaged onto the imaging area means, and optics presenting the image points on the tool on the imaging area means, the image points being at least three visible within the field of view of the imaging area means and at least one being distinctly identifiable, characterized by, in order to measure the position and/or orientation of the tool, providing a number of measuring elements (8,9;13 to 16;20 to 23;26 to 28) on the tool, each measuring element having a size large enough for making a reconstruction of its form and calculation of at least one image point on the tool related to the measuring element even though a part of it happens to be obscured by some obscuring object, for example dust.
- 2. Method according to claim 1, characterized in that at least some of the measuring elements, called lined measuring elements, are positioned on at least one row; and that for each row at least one 3D line going through the lined measuring elements for the row in question is determined.
- 3. Method according to claim 1 or 2, characterized in that consecutive measurements of the measuring elements are provided, each measurement resulting in calculation of the 3D position and/or 3D orientation of the tool, and further calculations are performed to calculate the 3D movements of the tool from calculation to calculation and thereby to calculate at least one type of movement of the tool, such as shift, rotation etc.
- 4. Method according to any of the preceding claims, characterized in that most of the measuring elements are markers having the same shape, for instance circular, and that

at least one of the markers has a shape different from the others, for instance a square, a triangle, a diamond or the like, clearly distinguishable in the imaging area means, each said differently shaped marker having a predetermined known position on the tool and determining a reference point for determining shift position, and that a point or points on each marker are calculated to be used as the measuring point or points representing the marker.

- 5. Method according to claim 4, characterized in that, in order to have a uniquely determined position for each differently shaped marker, the differently shaped markers having relation to different rows have a different order and/or different configurations.
- 6. Method according to any of the preceding claims, **characterized** in that for each measuring element or marker its point of balance is detected and is used as a point representing the measured measuring element.

10

15

20

25

- 7. Method according to any of the preceding claims, characterized in that at least two rows of markers are provided on the tool, and that a line going through each row and/or the position of at least one measuring element point on each row are determined.
- 8. Method according to claim 7, characterized in that the rows of markers are parallel, the rows being either horizontal or vertical.
- 9. Method according to any of claims 3 to 6 and claim 7 or 8, characterized in that roll of the tool is derived by combining the information regarding at least two of the rows.
  - 10. Device for contactless or touch-free measurement of a tool by means of imaging area means (4,5) and processing means (30) making calculations based on image points on the tool, imaged onto the imaging area means, and optics (41, 42;51,52) presenting

the image of the image points on the tool on the imaging area means, the processing means (30) being adapted to calculate the momentary position and/or orientation along at least one axis of the tool making use of the image points,

#### characterized by

15

- a number of measuring elements and/or markers (8,9;13-16;20-23;26-28) having predetermined mutual positions and being provided on the tool, each measuring element and/or marker comprising at least one measuring point, and at least one of them being identifiable; each measuring element and/or marker to be used as position and/or orientation indicating means having a size making it restorable by the processing means even if a part of it on the tool is obscured for the image area means, for example by dust.
  - 11. Device according to claim 10, characterized in that at least some of the measuring elements and/or markers, called lined measuring elements, are positioned on at least one row; and that for each row the processing means (30) determines at least one 3D line going through the lined measuring elements for the row in question and/or the equation of a least one line going through the measuring elements or markers provided in the same row.
- 12. Device according to claim 10 or 11, characterized in that there are at least two rows of measuring elements or markers; and that the processing means (30) is adapted to make calculations of a line through each row and/or the position of at least one measuring element or marker on each row.
- 13. Device according to claim 12, characterized in that the rows are parallel to each other, either horizontally or vertically.
  - 14. Device according to claim 12, characterized in that the rows of markers extend along a line going from one end side of the tool to the other and are angled in relation to each other.

15. Device according to any of the claims 10 to 14, characterized in that the processing means (30) is adapted to make consecutive measurements to the measuring elements, each measurement resulting in the calculation of at least a line of the tool surface in space, and the processing means (30) is adapted to calculate movements of the tool in space between calculations and thereby to calculate at least one type of movement of the tool, such as shift, rotation etc.

5

15

20

- of the markers (8;13;20) on the tool have the same shape, for instance circular, and that at least one marker (9;14 to 16) is provided having a shape different from the others, for instance a square, triangle, diamond or the like, clearly distinguishable in the imaging area(s), each said differently shaped marker having a predetermined known position on the tool and in relation to a predetermined constellation of the others.
  - 17. Device according to claim 16, characterized in that in that most of the measuring elements are positioned in at least one row, and that, in order to have a uniquely determinable position for each differently shaped marker, the markers having positions related to different rows have a different order and/or different configurations.
  - 18. Device according to any of the claims 10 to 17, characterized in that each measuring element provided in a row of measuring elements used for the measurement is a part of a marker having a two-dimensional shape.
- 19. Device according to any of the claims 10 to 17, characterized in that the processing means (30) detects the point of balance in a marker and uses it as one of the measuring points.
  - 20. Device according to any of the claims 10 to 19, characterized in that the processing means (30) calculates shift of the tool by detecting different spatial

positions of the differently shaped marker or markers in the consecutively made measurements.

21. Device according to any of the claims 10 to 20, characterized in that the markers (8,9;13-15;20-23) are reflective and provided on a dull background, and by lighting units (6,7) illuminating the markers at least during the imaging of the imaging areas (4,5).

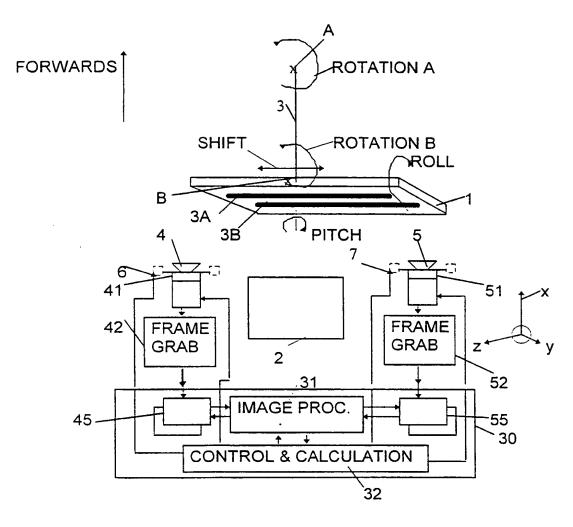


FIG 1

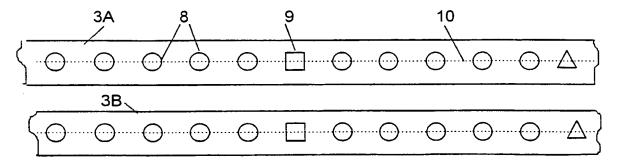


FIG 2A



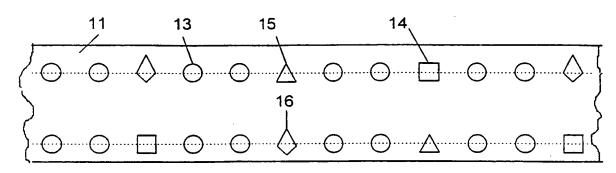


FIG 2B

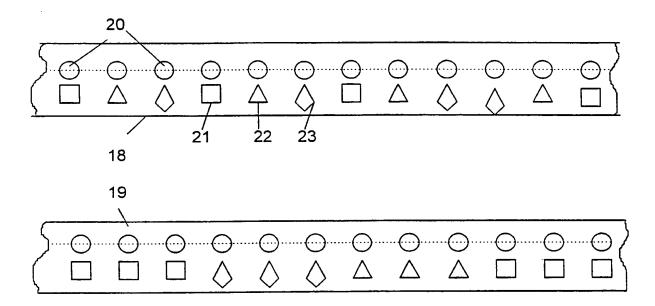


FIG 2C

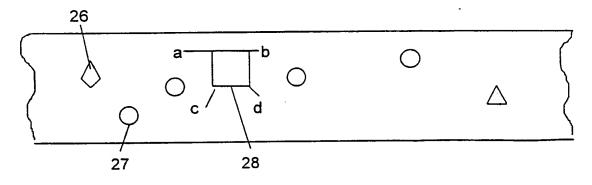


FIG 2D

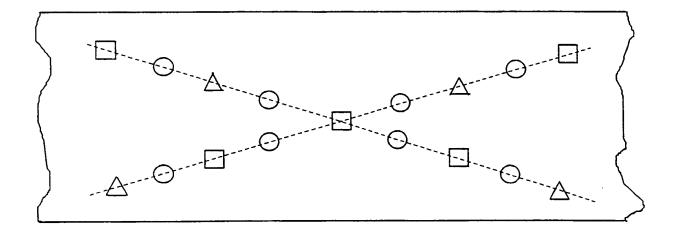


FIG 2E

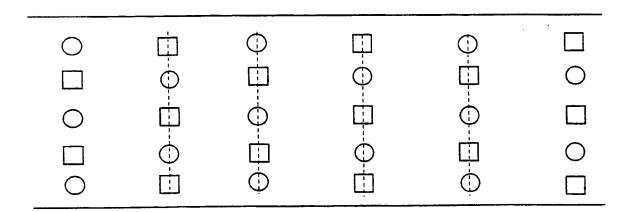


FIG 2F

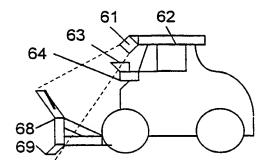


FIG 3

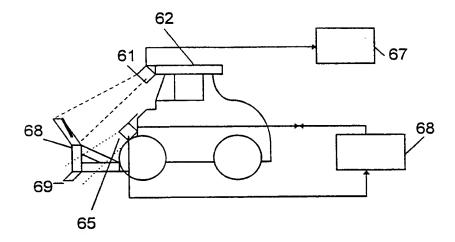


FIG 4

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the	images include but are not limited to the items checked:
☐ BLACK B	ORDERS
☐ IMAGE C	UT OFF AT TOP, BOTTOM OR SIDES
☐ FADED T	EXT OR DRAWING
☐ BLURREI	O OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/	SLANTED IMAGES
☐ COLOR O	R BLACK AND WHITE PHOTOGRAPHS
GRAY SC.	ALE DOCUMENTS
LINES OR	MARKS ON ORIGINAL DOCUMENT
☐ REFEREN	CE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
П отпер.	

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.